

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF INDIANA
INDIANAPOLIS DIVISION

UNITED STATES SECURITIES)
AND EXCHANGE COMMISSION,)

Plaintiff,)

v.)

VEROS PARTNERS, INC,)
MATTHEW D. HAAB,)
JEFFERY B. RISINGER,)
VEROS FARM LOAN HOLDING LLC,)
TOBIN J. SENEFELD,)
FARMGROWCAP LLC,)
PINCAP LLC, and)

Defendants,)

PIN FINANCIAL LLC,)

Relief Defendant.)

Case No. 1:15-cv-659-JMS-MJD

**RECEIVER’S NOTICE TO COURT REGARDING
REGULAR REPORTS FROM MATTHEW D. HAAB**

William E. Wendling, Jr., the Receiver herein, by counsel, respectfully notifies the Court that there is no longer any need to have Defendant Matthew D. Haab provide weekly or regular reports to the Receiver. In support of this motion, the Receiver states:

1. On April 22, 2015, the Plaintiff, Unites States Securities and Exchange Commission (“SEC”) filed its Complaint [[Filing No. 1](#)] in this action and a motion for temporary restraining order, asset freeze and other relief [Filing No. 3]. Thereafter, the Court entered a Temporary Restraining Order [[Filing No. 12](#)].

2. On May 1, 2015, the Agreed Order Appointing Receiver (“Agreed Order”) was entered [[Filing No. 34](#)], appointing William E. Wendling, Jr. to serve without bond as the Receiver for the estates of the Receivership Defendants.

3. The Agreed Order at Paragraph 3a, provides that the Receiver, with regard to Defendant Veros Partners, Inc. (“Veros”) will “[r]eceive regular reports from Kelly Morgan with respect to Investment Advisory Services conducted by M. Haab” [[Filing No. 34](#), at ECF p. 3]. As part of the Receiver’s duty to monitor and supervise the activities of Veros, Mr. Haab was to provide regular (weekly) reports to Ms. Morgan regarding any Investment Advisory Services conducted by Mr. Haab. As previously reported by the Receiver, Veros went out of business, and therefore Kelly Morgan and others ceased Veros employment, on or about December 31, 2015. As of January 31, 2016, all Veros TD Ameritrade accounts and clients had been transferred out of Veros. For the next few months in early 2016, Mr. Haab continued to respond to questions from former clients, provided final client investment reports/billing, tax information summary packages, and performed business wind-down activities. Mr. Haab also provided information and answered questions, as and when requested by the Receiver and/or Blue & Co. related to the various private placements and related tax preparation matters, and assisted the Receiver by calculating payments to investors when received in the private placements.

4. In September, Mr. Haab, along with Mr. Risinger and Adam Decker, met with the Receiver and assisted with information needed by the Receiver related to the PinCap and FGC tax returns and the FGC IRS audit. Thereafter Mr. Haab responded to the Receiver’s and/or Blue & Co. questions which have continually decreased in frequency.

5. On September 14, 2016, Final Judgment was entered as to Defendant Matthew D. Haab [[Filing No. 273](#)] and Veros [[Filing No. 274](#)] and on October 28, 2016, the Court entered an order lifting and vacating the asset freeze against Mr. Haab [[Filing No. 289](#)].

6. In summary, Veros Partners, Inc. is no longer in business, and no longer has clients, client accounts, or employees. Final Judgment has been entered against Mr. Haab and the asset freeze against him was lifted and vacated. Accordingly, the Receiver hereby notifies the Court that there is no further need for Mr. Haab to provide regular reports to the Receiver.

WHEREFORE, the Receiver, by counsel, respectfully notifies the Court that there is no further need for Defendant Matthew D. Haab to provide weekly or regular reports to the Receiver regarding his activities.

Respectfully submitted,

By /s/ Anne Hensley Poindexter

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Counsel for the Receiver

Certificate of Service

I hereby certify that on December 12, 2016, a copy of the foregoing ***Receiver's Notice to Court Regarding Regular Reports from Matthew D. Haab*** was filed electronically. Notice of this filing will be made on all ECF-registered counsel by operation of the Court's electronic filing system. Parties may access this filing through the Court's system.

s/Anne Hensley Poindexter.
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